CMX HOLDINGS LIMITED

(Earlier Known as SIEL FINANCIAL SERVICES LIMITED)

Date: 8th July, 2023

To, BSE Limited, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai, Maharashtra 400 001.

Scrip Code: 532217

Sub: SDD Compliance Certificate for the Quarter ended June 30, 2023.

Pursuant to Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find attached herewith the SDD Compliance Certificate for the Quarter ended June 30, 2023.

Kindly take the above information on record.

For CMX Holdings Limited
(Formerly known as SIEL FINANCIAL SERVICES LIMITED)

Parmeet Singh Sood Managing Director DIN-00322864



COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 30, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Amit R. Dadheech, Practising Company Secretary (as decided by the Company) am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

- 1. the Company has a Structured Digital Database in place
- 2. control exists as to who can access the SDD
- 3. all the UPSI disseminated in the previous quarter have been captured in the Database
- 4. the system has captured nature of UPSI along with date and time
- 5. the database has been maintained internally and an audit trail is maintained
- 6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 4 number of events during the quarter ended and has captured 4 number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: **NIL**

For Amit R. Dadheech and Associates

Amit R. Dadheech

Practicing Company Secretary Amit R. Dadheech

ACS: 22889, CP: 8952

Place: Mumbai Date 08th July, 2023