

CMX HOLDINGS LIMITED

(Earlier Known as SIEL FINANCIAL SERVICES LIMITED)

Date: November 18, 2022

To
The Manager
Corporate Relations Department
BSE Limited
Phiroze Jeejeebhoy
Towers, Dalal Street
Mumbai – 400001

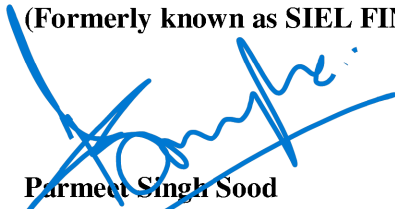
Scrip Code: 532217

Sub: SDD Compliance Certificate for the Quarter ended September 30, 2022

Pursuant to Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find attached herewith the SDD Compliance Certificate for the Quarter ended September 30, 2022.

Kindly take the above information on record.

For CMX Holdings Limited
(Formerly known as SIEL FINANCIAL SERVICES LIMITED)



Parmeet Singh Sood
Managing Director
DIN-00322864

**REGD OFFICE:- SONI MANSION, 12-B, RATLAM KOTHI, INDORE-452 001 (M.P.)
CIN : L74110MP1990PLC007674**

(PREVIOUS CIN : L65999MP1990PLC007674)

Website: www.sielfinancial.com E mail: sielfinancialservicesltd@gmail.com Phone: +91 124 4557700



COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Amit R. Dadheech, Practicing Company Secretary** have examined the following compliance requirement of M/s **CMX Holdings Limited (Formerly known as SIEL FINANCIAL SERVICES LIMITED)** (Company) and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations):

| Sr. No | Compliance Requirement | Yes/ No | Observation/ Remark |
|--------|--|---------|---|
| 1. | Whether the Company has a Structured Digital Database in place? | No | The Company is in the process of Purchasing designated software and implementing a Structural digital database tool for Monitoring the UPSI, However presently, Company maintains such data manually, considering the small size of the company. Notice of the Board Meetings and Disclosures of Trading window Closure were sent to all the directors of the company |
| 2. | Whether control exists as to who can access the SDD for read/ write alongwith the names and PAN of such person? | No | |
| 3. | Whether all the UPSI have been captured in the Database. If not details of events that have not been captured and the reason for the same? | No | |
| 4. | Whether the recipient were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same? | No | |
| 5. | Whether nature of UPSI have been captured alongwith date and time? | No | |
| 6. | Whether name of persons who have shared the information has been captured along with PAN or any other identifier? | No | |
| 7. | Whether name of persons with whom information is shared has been captured along with PAN or any other identifier? | No | |
| 8. | Whether the database has been maintained internally? | No | |
| 9. | Whether audit trail is maintained? | No | |
| 10. | Whether time stamping is maintained? | No | |
| 11. | Whether the database is non-tamperable? | No | |
| 12. | Any other measures to ensure non-tamperability of the Database? | No | |



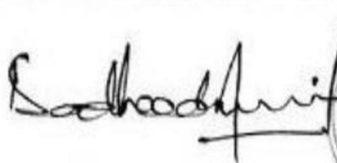

Amit R. Dadheech & Associates
Company Secretaries

Note: The information of the audit should cover the period when such information was inserted in the SDD up to the Date of Disclosure

The number of days for which non-compliance was observed: NIL

Further, I also confirm that the Company was required to capture 1 number of events during the half year ended September 30, 2022 and has captured 0 number of the said required events.

For Amit R. Dadheech and Associates

**Amit R. Dadheech,
M. No. A22889, COP No. 8952**

Date: November 18, 2022

Place: Mumbai